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August 28, 2002

To: Latrice

Fax: 703-308-5077

From: Cody McAtee

Your Ref.: 10/030,822

Our Ref.: 111673

Number of Pages Sent (Including cover sheet): 10

Prepared By: cmm

**Comments:**

I am attaching a copy of the Request for Refund filed on May 8, 2002 along with the date-stamped postcard receipt. Also attached is a copy of the deposit account statement, PCT transmittal letter, Declaration and date-stamped postcard receipt showing that the Declaration was filed before the deadline. If you have any questions I can be reached at 703-836-6400, ext. 265.

Thank you,  
Cody

Sent by:

This facsimile is intended only for the use of the individual or entity named above and may contain privileged or confidential information. If you are not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are notified that any review, dissemination, distribution or copying of this facsimile is prohibited. If you have received this facsimile in error, please immediately notify us by facsimile or telephone, and return the facsimile to us by mail at the above address.

**PTO RECEIPT FOR FILING OF PAPERS**

The following papers have been filed:

PCT Trans. Ltr., Request for Refund, copy of PCT Trans. ltr., Decl., date-stamped postcard receipt

Name of Applicant: Takashi AOKI et al.

Serial No.: 10/030,822

Atty. File No.: 111673

Title (New Cases):

Sender's Initials: MAC/cmm

265/11

PATENT OFFICE DATE STAMP



COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER

(1390 REV. 5-93) US DEPT. OF COMMERCE - PATENT & TRADEMARK OFFICE		ATTN: 111673	US DOCKET NUMBER
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, sec 37 C.F.R.1.5) 10/030,822	
INTERNATIONAL APPLICATION NO. PCT/JP99/03862	INTERNATIONAL FILING DATE July 16, 1999	PRIORITY DATE CLAIMED	
TITLE OF INVENTION EXPOSURE METHOD AND SYSTEM			
APPLICANTS FOR DO/EO/US Takashi AOKI et al.			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input type="checkbox"/> A copy of the international Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the Inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>			
<b>Items 11. to 16. below concern other document(s) or information included:</b>			
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13. <input type="checkbox"/> A FIRST preliminary amendment.			
<input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.			
14. <input type="checkbox"/> A substitute specification.			
15. <input type="checkbox"/> Entitlement to small entity status is hereby asserted.			
16. <input checked="" type="checkbox"/> Other items or information: Request for Refund			

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/030,822	INTERNATIONAL APPLICATION NO. PCT/JP99/03862	ATTORNEY'S DOCKET NUMBER 111673
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<p>17. <input type="checkbox"/> The following fees are submitted:</p> <p><b>Basic National fee (37 CFR 1.492(a)(1)-(5)):</b></p> <p>Search Report has been prepared by the EPO or JPO ....\$890.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) .....\$710.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$740.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,040.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$ 100.00</p> <p style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> \$</p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(c)). \$</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <th style="width: 25%;">Claims</th> <th style="width: 25%;">Number Filed</th> <th style="width: 25%;">Number Extra</th> <th style="width: 25%;">Rate</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">- 20 =</td> <td></td> <td>X \$ 18.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">- 3 =</td> <td></td> <td>X \$ 84.00</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td>+ \$280.00</td> </tr> <tr> <td colspan="3" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td>\$</td> </tr> <tr> <td colspan="3">Reduction by 1/2 for filing by small entity, if applicable.</td> <td>- \$</td> </tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL =</b></td> <td>\$</td> </tr> <tr> <td colspan="3">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>+ \$</td> </tr> <tr> <td colspan="3" style="text-align: right;"><b>TOTAL NATIONAL FEE =</b></td> <td>\$</td> </tr> <tr> <td colspan="3"></td> <td>Amount to be refunded \$</td> </tr> <tr> <td colspan="3"></td> <td>Charged \$</td> </tr> </table> <p>a. <input type="checkbox"/> Check No. _____ in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. <u>15-0461</u>. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO:          OLIFF &amp; BERRIDGE, PLC          P.O. Box 19928          Alexandria, Virginia 22320</p> <p style="text-align: right;">NAME: Mario A. Costantino          REGISTRATION NUMBER: 33,565</p> <p>Date: <u>May 8, 2002</u></p> <p style="text-align: right;">NAME: Thomas J. Pardini          REGISTRATION NUMBER: 30,411</p>	Claims	Number Filed	Number Extra	Rate	Total Claims	- 20 =		X \$ 18.00	Independent Claims	- 3 =		X \$ 84.00	Multiple dependent claim(s) (if applicable)			+ \$280.00	<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$	Reduction by 1/2 for filing by small entity, if applicable.			- \$	<b>SUBTOTAL =</b>			\$	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).			+ \$	<b>TOTAL NATIONAL FEE =</b>			\$				Amount to be refunded \$				Charged \$	<p style="text-align: center;"><b>CALCULATIONS</b></p> <p style="text-align: center;"><b>PTO USE ONLY</b></p>
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PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

ATTN: Refund Section  
Accounting Div.  
Office of Finance

Takashi AOKI et al.

Application No.: 10/030,822

Docket No.: 111673

Filed: January 16, 2002

For: EXPOSURE METHOD AND SYSTEM

REQUEST FOR REFUND TO DEPOSIT ACCOUNTDirector of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Attached hereto is a copy of a Monthly Statement of Deposit Account (dated May 7, 2002) showing a charge of \$130.00 related to the above-referenced application. This charge is marked with Fee Code 124, which is the Patent Office Fee for oath or declaration after twenty or thirty months from priority date. However, the declaration was filed on January 16, 2002 which is the deadline for the 30 month due date. Therefore, no surcharge fee is required. A copy of the PCT Transmittal, declaration and date-stamped postcard receipt is attached.

Accordingly, it is respectfully requested that this charge be re-credited to Deposit Account No. 15-0461 and that the Patent Office acknowledge this credit in writing.

Respectfully submitted,

Mario A. Costantino  
Registration No. 33,565Thomas J. Pardini  
Registration No. 30,411

MAC:TJP/cmm

Date: May 8, 2002

OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320  
Telephone: (703) 836-6400

DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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**Deposit Account Statement**

**Requested Statement Month:** May 2002  
**Deposit Account Number:** 150461  
**Name:** OLIFF & BERRIDGE P L C  
**Attention:** BARBARA WATTS  
**Address:** 277 SOUTH WASHINGTON STREET  
**City:** ALEXANDRIA  
**State:** VA  
**Zip:** 22314

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DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT
05/07	124	10030822	111673	154	\$130.00

(1390 REV. 5-93) US DEPT. OF COMMERCE, PATENT & TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 111673
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)
INTERNATIONAL APPLICATION NO. PCT/JP99/03862	INTERNATIONAL FILING DATE July 16, 1999	PRIORITY DATE CLAIMED
TITLE OF INVENTION EXPOSURE METHOD AND SYSTEM		
APPLICANTS FOR DO/EO/US Takashi AOKI et al.		
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<p>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventors (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
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